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## Remarks

### The Specification

The original translation of this application from German to English was not always correct. The changes to the specification do not add new matter, but to follow the principle of antecedent basis in the specification as well as the claims, portions of the specification which originally appeared on later pages have been shifted to earlier pages. This is particularly true for the elements 2 and 3 in the Figures. Again, Applicant respectfully notes that the amendments to the specification do not add new matter, but rather, clarify the description of the invention and put the description in a more understandable order.

### The Drawings

The Drawings are objected to under 37 CFR 1.84(p)(5) because they do not include the following reference signs(s) mentioned in the description:

- Deflection coils or actuators or control elements “3”
- “18” and “20” of Figure 8

To overcome this objection, the specification (in the “Detailed Description of the Invention”) has been amended to name reference number “3”, which is given to the internal actuator or control element (See Figure 1). The deflection coils which are a possible embodiment of such actuator are given the reference numerals “3a” and “3b” in the description (See Figure 2). The specification has been amended beginning on page 9 (Paragraph 30 through Paragraph 32), to make this clear. No amendment of the drawings is necessary, as the reference numbers already appeared in Figures 1 and 2.

The translation of the German PCT application refers to a camera system “20” (page 18, line 28) and the optical microscope “18” (page 18, line 31). In the marked-up specification

included herewith, one can see that the specification has been amended to clarify that paragraph [0053] describes Figure 8.

The Drawings are objected to under 37 CFR 1.83(a) for failure to show every feature of the invention specified in the claims. Specifically, page 3 of the Office Action notes that the scanner, the control elements arranged in the image processor, the reference object, the internal actuator, and internal control element must be shown.

The scanner:

Applicant respectfully notes that the apparatus as such is a scanning apparatus (as best shown in Figure 2) where beams are shown being emitted from an electro gun (not referenced) and deflected by coils 3a, 3b. Further, a sample holder (not referenced) is shown where the beams impinge. “Scanning” means that there is a relative movement between the beam and sample object. This relative movement can also be produced by shifting the sample holder, which has been described on page 21, paragraph [0056] by mentioning “deflecting the sample”.

The reference object:

The reference object is shown in Figure 3 (“such as shown at 8 in Figure 3”) as mentioned on page 12, paragraph 33), and also described in paragraphs 34 and 35.

The internal actuator and the internal control element:

The internal actuator and internal control element are shown at “3” in Figure 1. Applicant now explains why this actuator or control element has been termed “internal”. Vibration is the most common ambient influence that degrades imaging samples in a microscope.

Microscopes and similar instruments are usually damped against vibrations, and active vibration damping systems using external actuators are known, for example, piezo elements, for supporting the base of the instrument and making an inverse vibration to the interfering

vibrations. An example of such a system is shown in U.S. Patent 4,929,974 (Mitsumo). Therein, an inverse vibration generating circuit “20” and an actuator “12” are mentioned for canceling, to a high degree, the vibration transmitted to the body “1” from the foundation “3”. The body “1” that may be an electron microscope, therefore, is supported from the outside. (See Mitsumo’s Figure 4). Therefore, Mitsumo’s actuator “12” is an EXTERNAL actuator. An internal actuator is one that is situated within the body of the apparatus to be protected against vibrations and other interfering ambient influences.

The control elements arranged in the image processor:

The control elements are arranged in the image processor. It is known to those skilled in the art that an image processor is made up of a computer. Control elements are arranged in the computer being formed by the programs running on the computer. This cannot be shown.

Rejection of the Claims

In amended claim 43, the actuator means have been defined as being responsible for the scanning movement of the electron beam relative to a sample object. Such actuator means may include individual devices for movements orthogonal to one another, as is known to those skilled in the art. The actuator means of claim 43 are internal actuator means in that they are located within the body of the imaging and raster mode scanning apparatus. As shown in Figure 2, apparatus 1 is the tube of a microscope, that is, a microscope without deflection coils and without the image processing device and the electrical filter. The same is true for apparatus 1 in Figures 1a and 1d. We have mentioned these facts, which are shown in the drawings, and also clarified and described in the newly amended description.

Claims 43 and 46 have been made non-conflicting through the current amendment.

Claim 48 has been reformulated to define the kind of electrical filter.

Claims 51 to 53 have been amended in view of the remarks of the current Office Action.

Claim 55 has been revised in view of the contents of original claim 15 in WO 98/50938 and, furthermore, the antecedent basis for the line centroids and the image centroids have been formulated so that claim 56 no longer lacks antecedent basis.

Claims 59 to 61 have been amended accordingly.

Claim 62 has been amended to be an independent claim to solve the conflicting situation mentioned in the Office Action.

Regarding claim 63, the image display has been replaced by an image processor (as disclosed in Figs. 1 and 2), and also as an antecedent basis for claim 65.

In claim 64, a typing error has been corrected.

Claim 66 has been amended to given an antecedent basis for the scanner.

Regarding claim 67, we have replaced “image of the real structure of the reference object” with “a pre-stored undistorted image of the reference object.” On the electronic level, the real structure of the reference object is a previously stored image of the reference object under undistorted conditions.

We have amended claim 68 in view of the remarks in the current Office Action.

We have amended claim 69 in view of the remarks in the current Office Action.

We have amended claim 70 by omitting portions that have already been claimed in claim 63, on which claim 70 depends, and by amending the working of claim 70.

For clarification purposes, claims 71 and 72 mean to recite the reference object.

Claims 74, 75 and 76 have been amended in view of the remarks in the current Office Action.

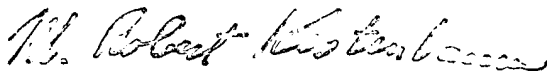
Claims 77 and 79 have been amended to include proper antecedent basis.

Claim 80 through 83 have been amended in view of the remarks in the current Office Action.

Applicant respectfully believes that this amendment respectfully overcomes the objections set forth in this Office Action and clarifies the description of the invention and the drawings without adding new matter.

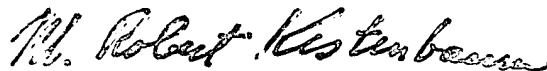
A two-month extension of time in which to response to the outstanding Office Action is hereby requested. PTO-2038 authorizing credit card payment for the amount of \$210 is enclosed for the prescribed Small Entity two-month extension fee. Any other fee due by virtue of this filing or this application should be charged to Deposit Account 11-0665 . Any refunds in connection with this filing should be credited to Deposit Account 11-0665. A duplicate of this page is enclosed for this purpose.

Respectfully submitted,

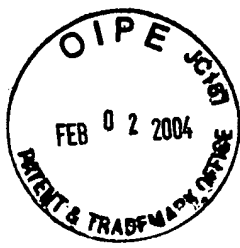


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Description

Imaging and/or Scanning Apparatus with Compensation of Imaging Degradations Caused by the Surroundings

Cross-References to Related Applications

Not applicable.

Statement Regarding Federally Sponsored Research or Development

Not applicable.

Background of the Invention

[0001] The invention relates to an imaging and/or raster-mode scanning apparatus and to a method for operating an apparatus of this type with a device for compensating for ambient influences that may cause imaging degradations.

Field of the Invention

[0002] Imaging and/or raster-mode scanning apparatuses, for example scanning electron microscopes, force microscopes and light scanning microscopes, have attained great importance in many methods for inspecting samples.

[0003] However, these measurements are frequently influenced by external ambient conditions such that the imaging quality is diminished. This results, under certain circumstances, in an undesirable degradation of the resolving power and/or in defective imaging. In the following text, an imaging degradation of this type is generally described as the occurrence of imaging or image defects. In the case of electron scanning microscopes, by way of example, an influencing variable that diminishes the imaging quality may be an electromagnetic interference field which influences the electron orbits. Furthermore, air and/or ground vibrations in the surroundings of the microscope are a

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factor for consideration, these causing losses of spatial definition in the illumination of the sample and/or in the detection of the electrons. The above-described influence of electromagnetic interference fields or air and/or ground vibrations on the imaging quality applies, in principle, to, all imaging and/or raster-mode scanning apparatuses.

[0004] One method for eliminating air and/or ground vibrations consists for example in putting the apparatus onto a vibration-damping or vibration-insulating apparatus.

However, devices of this type are very expensive. Moreover, these devices offer only limited protection against the abovementioned ambient influences, above all at very low interference frequencies, as may occur in the event of building vibrations, for example.

[0005] In the case of electromagnetic and/or magnetic interference fields, according to the prior art, these fields are detected and compensated for by means of inducing a current flow through a coil outside the apparatus. This method has the disadvantage that although the interference fields are significantly reduced, by means of negative feedback, at the location where the interfering quantity is detected, this is not necessarily the case at the "actual location of occurrence", that is to say along the electron orbits in the case of an electron scanning microscope.

#### Summary of the Invention

[0006] The object of the invention, therefore, is to provide an apparatus in which ambient influences that may cause imaging degradations or defects are compensated for effectively and without a high financial outlay.

[0007] This is achieved in a surprisingly simple manner by means of an apparatus according to ~~claim 1~~ the invention and a method for operating an apparatus of this type according to ~~claim 23~~ the invention.

[0008] Accordingly, a first signal dependent on the ambient influences passes through an adjustable digital electrical filter and drives an actuator and/or a control element which has an effect on the imaging and/or on the image display, in which case, in the calibrated state of the apparatus, which is realized by setting the transfer parameters, that is to say the transfer characteristic of the filter, the image degradation is greatly reduced or essentially compensated for. Setting the filter makes it possible to ensure that the compensation of the ambient influences interfering with the imaging takes place "at the actual location of occurrence", in contrast to apparatuses according to the prior art. The invention can be utilized in a multiplicity of embodiments. In these cases, the outlay can be made dependent on the required degree of compensation of the ambient influences. By way of example, the digital filter, for calibrating the apparatus, may have a calibration input to which a second signal for setting the transfer parameters of the filter is applied, or the filter may have a device for manually setting the transfer parameters. If an output signal of the image processing device is applied to the calibration input of the filter, then, in dependence on the image defects detected, the transfer parameters of the filter can be coordinated in such a way that the interference compensated for is exactly that which affects the imaging, and not the interference at a location in the vicinity of the apparatus.

[0009] The first signal which is dependent on the ambient influences and is applied to the signal input of the filter can either be output by a sensor for detecting at least one physical quantity outside the apparatus, or an output of the image processing device is connected to the calibration input of the filter, with the result that the calibration signal depends on an image analysis, for example. If a sensor is used to output the first signal, it is possible to detect electromagnetic and/or magnetic fields, air vibrations and/or body or

ground vibrations. In an advantageous manner, an interfering quantity, or alternatively more than one simultaneously, can be picked up and the imaging defects caused by the interfering quantity can be compensated for by the driving of one or more control elements.

[0010] The high flexibility of the invention is also demonstrated in the fact that the effect according to the invention on the imaging and/or on the image display can take place in dependence on the interfering quantities in diverse ways. The actuators and control elements used may preferably be internal ones that are present, for example deflection systems or adjustment arrangements of sample stages. In addition to actuators which are assigned to the scanning device, it is possible, furthermore, to use, as further actuators, all systems which, like force actuators or distance drives, are sensitive to vibration, for the purpose of applying the correction signal. Furthermore, it is also possible to realize the compensation of the imaging defects by driving a control element in an image processing device, without influencing the defective imaging itself. In this case, this control element in the image processing direction comprises for example an adjustable parameter for a calculation in the image processing device. The use of multi-axis sensors and control elements advantageously enables the compensation of interference in a number of spatial directions. For this purpose, it is possible, by way of example, to vary the calibration signal at the filter as a function of the scanning location and/or of time.

[0011] In an advantageous embodiment, the apparatus, for example a microscope, is operated in a calibration mode and subsequently in an image mode, whereby, in the calibration mode, ambient influences that degrade the imaging are detected by the imaging of a predetermined reference object and comparison of the image with the real structure of the

reference object, and are greatly reduced or essentially compensated for by calibration, and whereby the imaging defects are compensated for by maintaining the calibration in the imaging mode, even in the event of a change in the ambient influences.

[0012] By virtue of the comparison of the image with the real structure of a reference object, the compensation of the interfering ambient influences is carried out on the basis of the imaging defect that is objectively present. As a result, furthermore, in addition to the ambient influences, systematic imaging defects of the apparatus can also be detected and eliminated. While minor fluctuations in the interfering quantity are automatically compensated for, greatly altered ambient conditions, for example caused by the microscope being sited in a new place, can easily be taken into account by means of a calibration cycle in which a new calibration, adapted to the altered conditions, of the apparatus is carried out. The apparatus can be calibrated anew at predetermined time intervals, whereby even changes in the ambient conditions which are not obvious are automatically taken into consideration.

[0013] The calibration mode is distinguished by the fact that a correlation is produced between the respective imaging defects that have been detected and the interfering influence detected by a sensor.

[0014] Conversely, this means that, from an interfering influence detected by a sensor outside the apparatus, a conclusion can be drawn about the imaging defect caused by this interfering influence and this imaging defect can be compensated for. Moreover, by means of external driving of the scanning device of the apparatus, it is possible to detect a selected section of the reference object, for example along a circle, repeatedly at time intervals. In this way, time-variable imaging defects, for example caused by a building

vibration, are also identified. By varying the scanning distance, for example by altering the scanning radius, it is possible, moreover, to detect location-dependent imaging defects, that is to say imaging defects which depend on the scanning location of the exemplary scanning microscope. Consequently, the apparatus according to the invention is set up for the detection and compensation of location- and time-dependent imaging defects.

[0015] In the image mode, the actual sample is then detected in its entirety by scanning, the second signal, for setting the transfer characteristic of the filter, advantageously being derived using the data determined during the calibration mode as a basis.

[0016] In a further advantageous embodiment, the apparatus is set up for automatically calibrating the filter during the image mode. In contrast to the preceding embodiment, the calibration is carried out during the normal image mode. Consequently, by way of example, the customary microscopic sequence is not disrupted since it is not necessary to carry out a changeover between a sample and the reference object. In addition to the advantage of requiring less time, the apparatus responds directly to what may be an unnoticed change in the interfering quantity and is calibrated anew by the transfer characteristic of the filter being set, the signal applied to the calibration input of the filter being derived from an image analysis in the image processing device. By means of a line-by-line image analysis, the displacement of the line centroids of successive image lines within the whole image can be determined, for example recursively, and a second signal can be calculated from this temporal displacement for the purpose of driving the calibration input of the filter. The pixel displacements of the line centroid thus serve as the amplitude of the image interference. The line frequency permits an assignment of

time and frequency for a correlation consideration in the case of the active application of a compensation signal dependent on the interfering quantity, that is to say in the case of the driving of an actuator and/or of a control element which have an effect on the imaging and/or the image display. If a sensor arranged outside the apparatus and serving to detect an ambient influence which degrades the imaging is read in parallel with the interference amplitude determined, at the start of each line, then this enables the simultaneous pick-up of image interference and the external interfering influence causing the latter. This method thus permits a direct calculation of the transfer function of the filter, which is required in order to compensate for the interference. As an alternative, fundamental assumptions may be made, for example with regard to the number of poles and zeros of the transfer functions, and individual parameters, that is to say, for example, the poles and zeros, can be optimized iteratively by means of the image analysis. The line-by-line image analysis permits the filter to be set and thus the ambient influences causing the imaging defects to be compensated for, up to a frequency corresponding to half the detection frequency, in accordance with the Nyquist theorem.

[0017] The image analysis may also comprise the recursive determination of the displacement of the image centroid of successive images. This is appropriate for example for transmission electron microscopes or light microscopes, which use a camera system for displaying an object. By determining the displacement of the image centroid in two mutually orthogonal axes, it is thus possible, by means of a corresponding correlation with the interference quantities, to rectify the image defects in two mutually perpendicular directions by the driving of corresponding actuators and/or control elements. The camera systems discussed conventionally operate between 25 and 70 Hz.

Although the evaluation and thus also the compensation by the application of compensation quantities even at frequencies which are higher than the image frequency of the camera system used.

[0018] In a further advantageous embodiment of the invention, not only the calibration input of the filter is fed by the image processing device, but also the signal input of the filter. Consequently, it is possible for the forward-connected sensor to be dispensed with and only the displacements, obtained from the image analysis, to be fed back into suitable control elements/actuators in two mutually orthogonal directions, in which case the said control elements/actuators, as in all the previous advantageous embodiments, may be assigned to the scanning device and/or to the image processing device or alternatively may be further actuators.

[0019] The invention can be used in a multiplicity of imaging and/or raster-mode scanning apparatuses which are suitable for the production or observation and measurement of surfaces, for example scanning electron microscopes, force microscopes, surface roughness measuring instruments, optical scanning microscopes, light microscopes, transmission electron microscopes or lithography installations.

[0020] Existing installations can be equipped by simple retrofitting to give apparatuses according to the invention for compensating for ambient influences.

#### Brief Description of the Drawings

[0021] The invention is described below on the basis of a number of ~~exemplary~~ embodiments with reference to the appended drawings, in which:

[0022] Figures 1a to 1d show different embodiments of the invention in the form of block diagrams,

[0023] Figure 2 schematically illustrates a scanning microscope according to the invention,

[0024] Figure 3 illustrates an exemplary reference object, of the kind that can be used for the calibration mode of the microscope in Figure 2,

[0025] Figure 4 shows an exemplary signal S of the image acquisition device when the microscope in Figure 2, in the calibration mode, scans and acquires a reference object on a predetermined path 9 in accordance with the coordinate x at different times,

[0026] Figure 5 shows the exemplary correlation between the displacement of the line centroids, which is illustrated by the curve 15, and the temporally corresponding profile 14 of an interfering quantity which is detected outside the apparatus and causes the displacement of the line centroids,

[0027] Figures 6a to 6c show the displacement of the image centroid of three successive images,

[0028] Figure 7 shows the temporal profile 17 of the displacement of the centroid from Figure 6 for the x-direction, and

[0029] Figure 8 shows an ~~exemplary~~ embodiment of an optical microscope corresponding to the block diagram of Figure 1c.

#### Detailed Description of the Invention

[0030] Figure 1a schematically illustrates an ~~exemplary~~ embodiment of the imaging and/or raster-mode scanning apparatus 4 according to the invention in the form of a scanning electron microscope in a block diagram. The numeral 1 designates an the apparatus for scanning sample objects by an electron beam (see also Figure 2) however not including an internal actuator 3 such as deflection coils 3a, 3b, an image processing device 2 and a the compensation device for compensating for ambient influences which may degrade the



imaging. The term “scanning electron microscope” also includes device elements such as the image processing device 2, the internal actuator 3, and other elements necessary for operating the microscope. There is apparatus comprises a sensor 4 outside the microscope apparatus, this sensor 4 outputting a first signal which is dependent on ambient interfering influences U, for example an the electromagnetic interference field at the location of the sensor 4. A, to a digital filter 5 has a ,the transfer characteristic which can be of the filter being set at a calibration input manually (Fig. 1a) or automatically (Fig. 1b to 1d). The interfering ~~ence~~ influence U affects both the sensor 4 and the apparatus 1, this being indicated, ~~in this figure and also~~ in Figures 1a to 1d, by the arrows proceeding from U. The first signal is processed in ~~Having passed through the filter and having been~~ amplified in a regulating amplifier 6, connected in a loop to the internal actuator 3 such as downstream, the signal is applied to the electron beam deflection coils 3a, 3b (3) of the scanning electron microscope. The first signal is a compensating signal dependent on the ambient influences and is applied to the signal input of the filter 5. In the embodiments of Figure 1a to 1c the sensor 4 is for detecting at least one physical quantity outside the microscope, and in the Fig. 1d embodiment, the image processing device 2 produces the first compensating signal. The regulating amplifier 6 serves for matching the output signal of the filter to the internal actuator 3. ~~and/or to the control element. In detail, then,~~  
a Thus, the first compensation signal ,that is to say a signal which is dependent on the interfering quantity, ~~that is to say~~ here the electromagnetic interference field, is ~~applied to the combined with an~~ actual driving signal of the deflection coils 3a, 3b. ~~The arrangement of the sensor outside the apparatus. It should be understood , according to the invention, such that the sensor 4 is arranged outside the microscope in such a way that~~

the driving of the actuator 3 ~~and/or of the control element~~ does not significantly influence the ~~measurement~~ first signal of the sensor 4. The effect achieved by the calibration of the filter 5 is that the applied first compensating ~~on~~ signal for the image acquisition corresponds precisely to an opposite effect which is caused by the interfering influence U, here the electromagnetic interference field at the location of the apparatus 1, ~~and~~, ~~consequently, the effect of the compensation signal application and~~, ~~[[c]]~~ Consequently, the effect of the first compensating signal and the effect of the interfering electromagnetic field on the imaging essentially cancel each other out. If the scanning electron microscope is moved to a different site, the filter must be calibrated ~~in each case~~ for the purpose of modeling the transfer function.

[0031] Figure 1b shows a block diagram of an arrangement ~~apparatus 1~~ according to the invention, in which the calibration of the filter 5 ~~and thus the calibration of the apparatus~~ ~~are~~ is carried out automatically by means of a second signal from an image processing device ~~which is included in the image acquisition device 2 or is connected thereto~~.

[0032] Figure 2 shows a scanning electron microscope including an. The image acquisition device 7 which acquires at least one pixel of an ~~the~~ object to be scanned and supplies corresponding signals to an ~~the~~ image processing device 2. ~~an apparatus of this type with~~. ~~[[t]]~~ The image processing device 2 also generates calibrating signals and is being connected to the calibration input of the filter so as to calibrate same. As in the case of the first embodiment, the first compensating signal of the sensor 4 is fed forwards through the filter 5 and the amplifier 6 to internal actuator implemented by ~~to~~ the deflection coils 3a, 3b. ~~A signal for driving the calibration input of the filter is generated in the image processing device 2.~~ The calibration of the filter 5 and thus of the apparatus

is described below with reference to two different embodiments.

[0033] According to a first embodiment, the microscope is set up for operation in a calibration mode and an image mode, whereby, in the calibration mode, ambient influences that reduce the imaging quality can be detected by the imaging of a predetermined reference object, such as shown at 8 in Figure 3, and ~~comparison of by~~ comparing the image with somewhat corresponding to “the real structure” of the reference object, ~~and~~ [[t]] The ambient influences can essentially be eliminated by calibration of the microscope, ~~and i. e.~~ the imaging defects are greatly reduced or essentially compensated for, even in the event of a change in the ambient influences, by maintaining the calibration in the image mode. Depending on the operating mode, the input signal of the calibration input of the filter 5 either depends on the respective measured imaging defect (calibration mode) or is obtained by means of the data stored during the calibration mode (image mode). It is possible to switch back and forth between the calibration and image modes.

[0034] The calibration mode is utilized in order to detect ambient influences, that is to say in this case the electromagnetic interference field which reduces the imaging quality, by the imaging of a predetermined section of the a reference object 8 and comparison of ~~the~~ such image with “the real structure” of the reference object, and to calibrate the apparatus by setting the transfer characteristic of the filter in such a way that systematic imaging defects caused by external ambient conditions and/or caused by instrumentation are essentially compensated for.

[0035] ~~According to the invention, this calibration of the microscope is carried out~~ To this end, an undistorted image of the reference object 8 (Figure 3) has been stored previously

in the image processing device 2.

Figure 3 illustrates how the The scanning microscope acquires an actual image of the reference object 8 by scanning device scans a selected section of the a reference object 8, for example along circle 9 as shown. in the calibration mode, in which case, in the digital image processing device, a This previously stored image of signal assigned to the reference object 8 is compared with the actual image signal of the reference object as that is obtained from the image acquisition device, and a signal assigned to the difference between previous and actual image is formed and is output to the calibration input of the filter.

[0036] The calibration method in the calibration mode can be described by the following steps:

- ~~termination of~~ determining a first signal, which depends on the electromagnetic interference field at the location of the sensor, by a sensor 4;
- ~~application of~~ applying the first signal to the signal input of the filter 5;
- ~~acquisition of~~ acquiring a selected section of a predetermined reference object by means of an image acquisition device 7 by scanning the reference object;
- ~~comparison of~~ comparing the acquired image with ~~the real structure an~~ undistorted image of the reference object;
- ~~determination of~~ determining an error a defect signal assigned to the difference;
- ~~application of~~ applying the second signal, derived from the error defect signal, to the calibration regulating input of the filter for resetting defining the transfer characteristic of the filter;
- ~~application of~~ applying the output signal of the filter 5 to the signal input of the regulating amplifier 6;

- ~~application of~~ applying the output signal of the regulating amplifier 6 to the electron beam deflection ~~detection~~ coils for the purpose of correcting the reduced image quality;
- iterative calibration of the characteristic of the filter 5, in such a way that the reduction of the imaging quality is greatly reduced or essentially compensated for, by means of the following steps:
  - ~~comparison of~~ comparing the corrected image with ~~the real structure an~~ undistorted image of the reference object;
  - ~~alteration of~~ modifying the transfer characteristic of the filter 5 ~~in such a way so~~ as to approximate ~~that the corrected image approximates to the~~ undistorted image ~~real structure~~ of the reference object;
  - ~~storage of~~ storing data for generating the determined transfer function of the filter 5 for the image mode.

[0037] In one embodiment, these data for generating the determined transfer function of the filter 5 for the image mode are stored in a memory assigned to the image processing device 2. In a further embodiment, the filter 5 is set up for storing these data. While the imaging defect is being determined, the devices for compensating for the imaging defects are switched off. The microscope according to the invention is then calibrated by the method described above, that is to say the feed forward for the measurement signal of the sensor is set as a measure of the interfering quantity.

[0038] The compensation quality is measured by repeated scanning of the reference object and comparison of the image with the real structure of the reference object. By determining the compensation quality in each case and correspondingly changing the

transfer function of the filter, the feed forward is iteratively changed in such a way that the imaging defects of the scanning electron microscope are minimized.

[0039] The microscope can be calibrated with regard to location- and/or time-variable imaging defects.

[0040] For this purpose, a reference object as shown in an exemplary fashion in Figure 3 is scanned on a predetermined path in the calibration mode. The imaged reference object comprises circular gold deposits which have been deposited on a substrate and are arranged in a predetermined manner. The scanning device of the microscope is driven externally, with the result that a selected section of the reference object is acquired. This path may, for example, be closed like that shown by the curve 9. Individual objects 8 are situated on this closed path, to which objects the image acquisition device 2 responds and generates a signal not equal to zero. This is shown schematically and by way of example in Figure 4, which illustrates the signal profile 10 acquired at a given instant  $t_i$  during the traversal of the closed curve 9. Time-dependent interference can cause time-dependent imaging defects. For this reason, in the illustration of Figure 4, the closed curve has been traversed four times at intervals. The resulting four signal profiles 10 are thus also a measure of the temporal dependence of the interference. Furthermore, the traversed curve is altered by varying the radius  $R$ , whereby location-dependent imaging defects can be detected. According to the invention, the time- and/or location-dependent imaging defects are determined by comparison of the image in the image processing device 2 with the predetermined reference object, which is known exactly. In the example represented in Figure 4, the time-dependent imaging defect is illustrated by the curve 11.

[0041] The image mode is the operating mode of the inventive scanning electron microscope

in which the actual sample is measured. The filter transfer characteristic determined in the calibration mode is invariant during the subsequent image mode with regard to the characteristic defined in the calibration mode. As explained above, however, it can vary with respect to time and as a function of the scanning location.

**[0042]** Assuming an essentially constant correlation between the electromagnetic interference field and the imaging defect caused by this interfering quantity, the output signal of the filter 5, after passing through the regulating amplifier 6, is applied to the electron beam deflection unit 3, with the result that image defects are essentially compensated for even in the event of a change in the ambient influences, that is to say the strength of the electromagnetic interference field.

**[0043]** In an embodiment developed further, in addition to the electromagnetic interference fields, air vibrations and/or ground vibrations are also detected by corresponding sensors, the signals that are output pass through calibratable filters which are assigned to the respective instances of interference and have adjustable transfer characteristics, and, after additional matching in the regulating amplifier 6, are applied to the deflection unit as a further control signal and/or to other actuators, with the result that the imaging defects caused by air vibrations and/or ground vibrations are also greatly reduced or essentially compensated for.

**[0044]** The necessity of having to switch back and forth between different operating modes of the apparatus is overcome in the embodiment described below by virtue of the fact that the apparatus is set up for automatic calibration of the filter during the image mode. In order to simplify the explanation, this embodiment is again described with regard to a scanning electron microscope, but is not restricted thereto. The apparatus essentially

comprises the components of the scanning electron microscope described above, with the exception that in the image processing device the acquired image is analysed and a signal dependent on the analysis is applied as second signal to the calibration input of the filter. In the exemplary embodiment, this image analysis comprises the recursive determination of the displacement of the line centroids of successive image lines within the whole image. The analysis is based on the insight that images of objects in imaging and/or scanning apparatuses are generally not stable with respect to time on account of the influence of the interfering quantities of the imaging. For elucidation purposes, Figure 5 illustrates the profile of the brightness within four selected image lines, the centroids of the brightness distribution in each line being identified by a circle and the curve 15 illustrating the displacement of this centroid of the chronologically successively scanned lines. In a manner corresponding to the respective line acquisition instants, the magnitude of an exemplary interfering quantity which causes the corresponding pixel displacement of the centroid within the lines is plotted as curve 14 on the left-hand side. In this way, it is possible to produce a correlation between the interfering quantity and the imaging defect caused by this interfering quantity. The pixel displacement of the line centroid from one image line to the next serves as the amplitude of the image interference. The line frequency permits an assignment of time and frequency for the correlation in the case of the active compensation signal application of the feed forward signal. If the external sensor is read-in in parallel with the determination of this pixel displacement at the beginning of each line, a time-parallel or simultaneous detection of the image interference and of the interfering influence that causes this interference can be performed. In principle, assuming sufficient coherence, it is thus possible to directly



calculate the transfer function to be set at the filter 5 in order to essentially compensate for the image interference. In an alternative embodiment, fundamental assumptions are made concerning the poles and zeros of the transfer function of the filter, and the individual parameters of the variably configured functions are optimized iteratively.

[0045] An exemplary method for determining the centroid displacement of successive lines is briefly outlined below. On the basis of the sampling theorem, it is possible to compensate for interference frequencies, which are less than half the sampling frequency. Furthermore, the method presupposes that individual objects within the image are very much larger than the line spacing and that centroid displacements perpendicular to the scanning direction in the image are small in comparison with centroid displacements parallel to the line direction. Moreover, it is assumed that the difference in the intensity  $\hat{a}_n(t)$  of neighbouring lines is small, and the intensity  $f_{n+1}$  of the line  $n+1$  can be written as follows:

$$i. f_{n+1}(t) = f_n(t) + \hat{a}_n(t).$$

[0046] If this system is then interfered with, assuming that the interference causes a temporal displacement  $\ddot{A}_n$  of the pixels within the line, the disturbed intensity  $d_n(t)$  is given by:

$$[0047] d_{n+1}(t) = f_{n+1}(t + \ddot{A}_{n+1}) = f_n(t + \ddot{A}_{n+1}) + \hat{a}_n(t + \ddot{A}_{n+1}) \text{ and}$$

$$[0048] d_{n+1}(t) = d_n(t + \ddot{A}_{n+1} - \ddot{A}_n) + \hat{a}_n(t + \ddot{A}_{n+1}).$$

[0049] Using a non-causal Wiener filter, it is possible to calculate a  $\ddot{a}$  pulse as a function of the line displacements  $\ddot{A}_{n+1}$  and  $\ddot{A}_n$ :

$$[0050] \ddot{a}(t + \ddot{A}_{n+1} - \ddot{A}_n) \approx \text{FFT}^{-1} \{ D_{n+1}(\ddot{u}) D_n^*(\ddot{u}) / |D_n(\ddot{u})|^2 + \ddot{a}^2 \},$$

[0051] where  $D_n(\ddot{u})$  is the Fourier transform of the disturbed intensity  $d_n(t)$ . This  $\ddot{a}$  function depends on the difference between the centroid displacement of neighbouring lines.

Consequently, the centroid displacement within the lines of an image can be calculated recursively, since, as explained above ( $\ddot{A}_{n+1} - \ddot{A}_n$ ) is known as a result of the image analysis.

[0052] For the driving of the deflection unit of the microscope, a signal which is proportional to the correlation function of the measured interfering quantity and the calculated centroid displacements in the individual lines is generated using a vector correlation. This correlation is carried out in the digital filter, a second signal, which is dependent on the temporal displacement calculated, being applied to the calibration input of the filter.

[0053] A further embodiment of the invention (Figure 8) is suitable for example for transmission electron microscopes (TEM) or light microscopes or related types of apparatuses which use a camera system 20 to display the object. In the embodiment described below, the apparatus illustrated in the block diagram in Figure 1c corresponds to the optical microscope 18 illustrated in Figure 8. The external sensor 4 is designed as a multi-axis vibration sensor whose signal is passed via an adjustable filter 5 and an amplifier 6 to a control element, which, in the present embodiment, is assigned directly to the imaging processing device 21 and has an effect on the image in the latter. In Figure 8, the filter, the amplifier and the control element are not explicitly shown but rather are contained integrally in the image processing device 21. According to the invention, then, in this apparatus a compensation signal is not applied to an actuator which influences the imaging, rather, instead of this, the image display is influenced directly. The camera system comprises a CCD camera 19 with a monitor, an image frequency of 25 Hz being worked with. The image processing device 21 is set up for storing successive images. By means of image analysis, the displacement of the image centroid of successive images in

two mutually orthogonal directions is calculated and used to set the transfer function of the digital filter 5 in a similar manner to that in the embodiment described above. An illustrative representation of this displacement of the centroid of successive images is shown in Figures 6 and 7. The curve 17 in Figure 7 shows the profile of the coordinate  $x$  of the centroid with time. The differences between two scanning points, for example  $t_0$  and  $t_1$ , thus correspond to the image refresh frequency. A further embodiment, in comparison with the embodiment described above, enables instances of interference to be corrected by the compensation signal application even at frequencies which are greater than the image refresh frequency of 25 Hz. For this purpose, the transfer function, which is defined by the points of resonance in the mechanical construction of the microscope, is implemented as the filter 5. In this way, a base vibration  $X$  generates a relative movement  $\ddot{A}x$  at the microscope. The general transfer function is thus completely determined by the actual sensitivity  $\ddot{A}x/X$ , the resonant frequency  $f_R$  and by the parameter  $Q$ , which defines the asymptotic decline of  $\ddot{A}x/X$  at high frequencies. By determining three points on the curve below the resonant frequency  $f_R$  as well, it is thus possible to infer the entire function and use it in the feed forward control by application of a compensation signal also for interference frequencies which are greater than the image refresh frequency.

**[0054]** In contrast to the embodiments described heretofore, according to the invention it is possible, moreover, to use the image information not in a feed forward arrangement but in a traditional feedback arrangement for the compensation of image interference. This is illustrated schematically in the block diagram 1d. The sensor whose signal is fed forwards is omitted, and instead of this the centroid displacements determined in the  $x$ - and/or  $y$ - axis from the image analysis are fed back into a suitable control element, in this

case a device for displacing the sample, after passing through an adjustable transfer function.

[0055] In further embodiments (not illustrated in any detail here) of the invention, the apparatus may be a force microscope, a surface roughness measuring instrument, an optical scanning microscope or a lithography installation.

[0056] Depending on the embodiment, in the case of electron microscopes, the driven actuators and control elements comprise the already described electron beam deflection devices and/or control elements in the image processing device, and in the case of optically operating apparatuses, the actuators comprise, depending on the embodiment, devices for deflecting the light and/or devices for deflecting the sample and/or control elements in the image processing device. A control element in the image processing device in this case designates, by way of example, the influence on a parameter which has effects on the calculation of the image. Moreover, use is made of further actuators which are sensitive to vibrations, and also force actuators electrodynamic linear drives) and distance drives (piezotranslators).

## Abstract of the Disclosure

An imaging and raster-mode scanning apparatus has a compensation device for compensating for ambient influences that may degrade the imaging, comprising an electrical filter, and at least one sensor for providing a first signal dependent on the ambient influences the first signal passes through the filter directly and drives an internal actuator and a internal control elements of the apparatus, which has an effect on the imaging and on the image display, in a calibrated state of the apparatus, which comprises a setting of a transfer characteristic of the filter, image degradations are greatly reduced or essentially compensated for. The filter for calibrating the apparatus, has a calibration input and a second signal is applied to the calibration input of the filter.